Inventor Diversity: Issues and Approaches to Encourage Participation

Washington State Patent Law Association, Jan. 20, 2021



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Thanks to our guest panelists

- Mollybeth KocialskiRegional Director,Rocky Mountain Regional USPTO
- Beth SchubertPatent Attorney, Aeon LawWSPLA Board Member



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Agenda

- Review of some available data on inventor diversity
- Some approaches to resolving the problem: IPO and Microsoft
- Expanding American Innovation Initiative at the USPTO
- Considerations from an outside counsel viewpoint
- Questions and discussion



How Diverse are Patent Inventors?

- Data is not easy to obtain
- Gender information somewhat available from names, but other attributes (race, etc.) not generally available
- USPTO gender data provides an example



USPTO study on women inventors

www.uspto.gov/sites/default/files/documents/OCE-DH-Progress-Potential-2020.pdf



What did USPTO do in the update?

- USPTO collects limited information on patent inventors.
- To study women's participation in patenting, it is necessary to classify inventors as men or women based on their names.
- This study improves our understanding of women's participation as inventor-patentees in two ways:
 - Updates 2019 P&P with three years of new data: 2017-2019
 - Provides a new analysis of entry by U.S.-based women into the patent system

Two metrics: patents vs. inventors

- Share of patents with a female inventor: The percentage of all patents granted to U.S. residents in a given year (e.g. 2019) that include at least one female on the inventor team.
- Women inventor rate (WIR): The percentage of all unique U.S. inventors in a given year that are women.



50% of patents with at least one female inventor

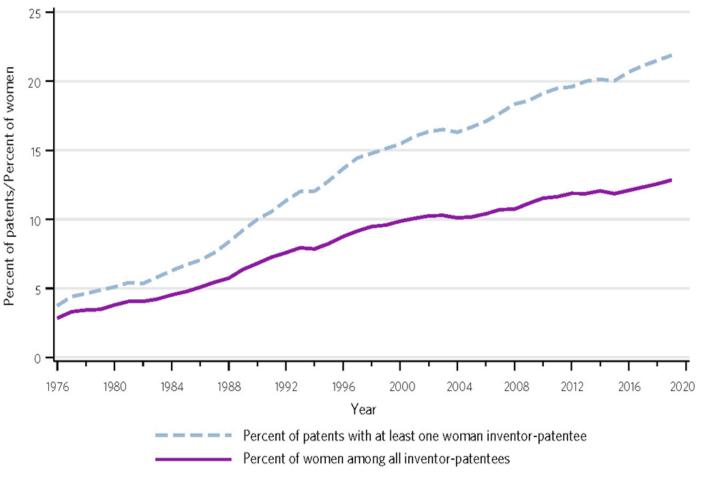
inventor rate



50% of patents with at least one female inventor

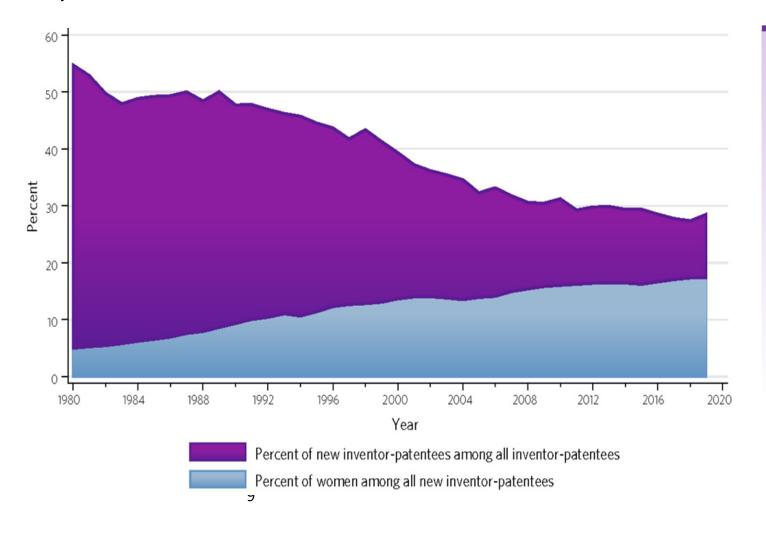
10% women inventor rate

More patents and more women over time



- Granted patents with at least one woman increased to 21.9% in 2019.
- The percentage of women inventor-patentees increased to 12.8% in 2019.

More women are entering the intellectual property system



- ➤ Women made up 17.3% of all new inventor-patentees in 2019, up from 5% in 1980.
- The number of new women inventor-patentees has increased by 4% per year since 2014.

How Diverse are Patent Inventors?

- Data is not easy to obtain
- Gender information somewhat available from names, but other attributes (race, etc.) not generally available
- USPTO data on gender indicates room for improvement in diversity of inventors



Drive awareness and engagement to increase women inventorship

Julie Akhter, Senior Attorney Intellectual Property Group Corporate, External & Legal Affairs Microsoft



USPTO Perspective

Mollybeth Kocialski
 Regional Director,
 Rocky Mountain Regional USPTO



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Outside Counsel

- ▶ IPO Toolkit resource for clients
- ► Client-specific support
 - Smaller entities



Outside Counsel

- ► MPEP § 2157 Improper Naming of Inventors
- ► Educate clients on inventorship standards
 - ▶ Not the same as grant applications or scientific papers
- ▶ IPO Toolkit resource for clients
- ► Client-specific support



Outside Counsel

- ► Caution clients on possible problems with incorrect inventor list
 - Excess Inventors can increase costs such as revenue share or bonus
 - Omitted Inventors can result in future difficulties
 - ▶ Omitted inventors can be co-owners, see Board of Trustees of the Leland Stanford Junior Univ. v. Roche Molecular Systems, Inc., 563 U.S. 776 (2011)
 - ► European priority claims require all applicants to be the same at the time of filing, possible loss of priority if inventor list changes



Questions and Discussion

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